

Predicting Ocean Salinity and Temperature Variations Using Data Mining and Fuzzy Inference

Yo-Ping Huang, Li-Jen Kao, and Frode-Eika Sandnes

Abstract

Global ocean salinity/temperature variations are attracting increasing attention, due to their influence on ocean-atmospheric changes and their potential for improved climate forecasting. The goal is to analyze historic salinity/temperature data to make predictions about future variations. Traditional statistical models that assume data independence are not applicable as ocean data are often inter-related. Association rules mining can be used to find interesting salinity and temperature patterns, however, the traditional method ignores spatial and temporal information in the data. This study proposes a strategy that employs inter-transaction association rules mining to discover salinity/temperature patterns where spatial/temporal relationships are considered. Next, a fuzzy inference is used to predict salinity/temperature variations. The fuzzy inference rules are derived from a set of inter-transaction association rules that are discovered from *Argo* data. The strategy is highly efficient as a reduced prefix-projected itemset algorithm with a small space and time complexity is employed in the search for large inter-transaction itemsets. This proposed strategy is unsupervised as it does not rely on domain experts for designing the fuzzy rule base. Experimental results demonstrate that the proposed strategy effectively predicts abnormal salinity/temperature variations.

Keywords: *fuzzy inter-transaction association rule mining, spatial-temporal data mining, fuzzy inference, climate change.*

1. Introduction

Global climate changes are attracting increasing attention. Ocean density-driven circulation plays a key role in redistributing heat, and can dramatically affect the climate. One example is El Niño. Seawater density is

determined by its salinity and temperature. Knowledge about the variations in salinity and temperature may help us understand ocean circulation and its role to climate change. This can be achieved by discovering interesting salinity and temperature patterns and then subsequently using these to predict future abnormal salinity/temperature events. Traditional event prediction is similar to time series prediction, which has been studied extensively within the field of statistics [4]. An alternative approach is to convert ocean data into market-basket type transactions and then apply association rules mining techniques to discover interesting associations. However, both of these strategies have shortcomings. Time-series prediction assumes statistical data independence while ocean data are often inter-related. Furthermore, time-series prediction usually relies on domain expert intervention. Association rule based prediction is not dependent on a domain expert, however, only salinity/temperature variations that occur simultaneously are considered. In practice it is necessary to reveal the associations among variations that occur at different times or at different places such that the associations can be applied to disaster prediction. Tung et al. proposed efficient algorithms for finding such rules [2]. In [2] a sliding window is applied to the multi-dimensional transaction database to reduce the search space and hence form mega-transactions.

The first objective of this study is to establish a scheme that can discover the relationships among salinity and temperature variations that occur at different times and places. Ocean data are quantitative and must first be discretized. Inter-transaction association rules are extracted using a time sliding window spanning multiple transactions to form mega-transactions. The number of items increases with the introduction of fuzzy attributes and inter-transactions in the search for frequent inter-itemsets [5]. Therefore, a reduced prefix-projected itemsets method based on the PrefixSpan algorithm [7] is used to minimize the computational complexity.

The second objective is to propose a fuzzy inference model that infers future abnormal salinity and temperature variations. The fuzzy inter-transaction association rules are used to construct a fuzzy rule base. Since the fuzzy rules are derived from inter-transaction association rules, the inference results contain salinity/temperature anomalies together with their

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respective time and location information. The experimental results show that the proposed model is effective though the fuzzy rule base is not designed by domain experts.

The remaining parts of this paper are organized as follows. In section II the problems at hand are summarized and related work is introduced. In section III the proposed algorithm is described and section IV provides experimental evidence. Section V concludes the paper.

2. Problem Definition

The goal of this study is to find the ocean salinity and temperature relations in the waters surrounding Taiwan. The data for our analysis is coming from *Argo* – a global array of 3,000 free-drifting profiling floats that measures the temperature and salinity of the upper 2000 m of the ocean [11]. Mining salinity and temperature patterns is a difficult task due to the spatio-temporal nature of the data [12], even there is a vast literature on how to extract spatial and temporal patterns from scientific data [13-19]. This section discusses the challenges involved in preprocessing and analyzing the data. Necessary definitions are also provided.

2.1 Transactions in Argo data

Association rule mining algorithms assume that a finite set of disjoint transactions are given as input to the algorithms [9], [20]. However, there may not be an explicit finite set of transactions in a spatial data set. This study adopts the strategy proposed in [9], where the spatial transaction is defined around the instances of a special reference feature. Taipei is chosen as the reference site and concentric circles are used to define neighboring regions of the reference site. Fig. 1 shows this reference centric model. The concentric circles are also used to annotate the location of the abnormal events. The sub-areas defined by the concentric circles represent different distances and directions to the reference site. For example, sub-area A2 implies that it is in the east-northeast direction and far from Taiwan, and sub-area A1 is in the east-northeast direction and close to Taiwan. Thus, the location context information for abnormal variations is maintained. Fig. 2 shows how the set of all the abnormal variations within the concentric circles is treated as a single transaction. Table 1 lists some of the transaction data derived from *Argo* measurements. Each transaction is recorded with its spatial/temporal information. The transaction that is transformed from Fig. 2 has transaction ID 2001/01 in Table 2.

2.2 Quantitative attributes

Many algorithms for mining binary association rules have been proposed in the past [3], [6], [7]. However, the attributes in most businesses or scientific databases are quantitative, with attributes such as age and income. Srikant et al. proposed an algorithm for finding the quantitative association rules by partitioning the continuous attribute domain, and then transforming the partitioned attributes into a binary representation [8].

The attribute partitioning method results in a sharp boundary problem. However, this problem can be overcome by applying fuzzy set theory in which an element can belong to a set with a membership degree in the range [0, 1]. In [1], each quantitative attribute is mapped to a value that is determined by the membership function associated with each fuzzy set.

2.3 Inter-transaction association rules

In classical association rules mining, records in a transactional database contain only items and are identified by their transaction IDs. Although transactions occur under certain contexts such as time, place, etc., such contextual information is discarded. In this study the goal is to determine rules such as “if area *A* salinity anomaly rises little, then area *B* temperature anomaly will rise from little in the next month.” Here, salinity/temperature variations associated with different locations and transaction days are revealed, that is, the rule associates itemsets among different transactions. These are called inter-transaction association rules. In this section, fundamental definitions are introduced.

Definition 1. Let $I = \{i_1, i_2, \dots, i_k\}$ be a set of items. Let D be a dimensional attribute and $Dom(D)$ be the domain of D . A transaction database is a database containing records in the form (d, I_j) , where $d \in Dom(D)$ and $I_j \subset I$. We call this type of database a 1-dimensional database.

The dimensional attribute usually describes the item time or place. An inter-transaction association rule that spans p intervals is found if an association exists between items that are p intervals apart. Since an inter-transaction association rule may cover many intervals, finding all such rules is time-consuming. In order to minimize the effort involved in mining uninteresting rules, a sliding window denoted by w is introduced. When mining inter-transaction association rules, only the rules spanning shorter than or equal to w intervals are considered. The sliding window is thus used to avoid mining rules that span many consecutive intervals [10].

Each sliding window forms a mega-transaction. A mega-transaction M that is contained within W can be

described as follows:

$$M = \{i_k(j) \mid i_k \in W[j]; 1 \leq k \leq u, 0 \leq j \leq w-1\},$$

where W is a sliding window with w intervals and u is the number of items in $I = \{i_1, i_2, \dots, i_u\}$.

To distinguish the items in a mega-transaction from traditional transaction items, the mega-transaction items are called extended items. The set of all possible extended items are denoted I' . Given I and w , then:

$$I' = \{i_1(0), \dots, i_1(w-1), i_2(0), \dots, i_2(w-1), \dots, i_u(0), \dots, i_u(w-1)\}.$$

The following is the definition of an inter-transaction association rule.

Definition 2. An inter-transaction itemset is a set of extended items $B \subseteq I'$ such that $\exists i_k \in B, 1 \leq k \leq u$.

Definition 3. An inter-transaction association rule has the form $X \Rightarrow Y$, where

1. $X \subseteq I', Y \subseteq I'$.
2. $\exists i_k(0) \in X, 1 \leq k \leq u$.
3. $\exists i_k(j) \in Y, 1 \leq k \leq u, j \neq 0$.
4. $X \cap Y = \{\}$.

Definition 4. Let MT_{xy} be the set of mega-transactions that contains a set of extended items $X \cup Y$ and MT_x be the set of mega-transactions that contains X . Let S be the number of transactions in the transaction database. Then, the support and confidence of an inter-transaction association rule $X \Rightarrow Y$ can be defined as:

$$\text{support} = \frac{|T_{xy}|}{S}, \quad \text{confidence} = \frac{|T_{xy}|}{|T_x|}.$$

As with intra-association rules mining algorithms, a minimum support, minsup, and a minimum confidence, minconf, are given and the task is to discover the inter-transaction association rules from the transaction database with support and confidence greater than or equal to the minimum requirements.

2.4 Mining frequent itemsets by prefix rojections

Pei et al. [7] employed a projection scheme in the PrefixSpan algorithm where the transactions were projected into overlapping sets called projected databases such that all the transactions in each set had the same prefix that corresponded to a frequent sequence (itemset). The main idea behind PrefixSpan is that, instead of projecting sequence databases by considering all the possible occurrences of frequent subsequences, the projection is based only on frequent prefixes. This holds, because any frequent subsequence can always be found by growing a frequent prefix.

Similar principles can be applied to the inter-transaction association rules mining task discussed herein. By using the example database in Table 3, with a minimum support count of 2, the PrefixSpan algorithm first scans the database to find the frequent 1-itemset, i.e., $\langle a(0) \rangle$, $\langle b(0) \rangle$, $\langle c(0) \rangle$, $\langle d(0) \rangle$, $\langle e(0) \rangle$ and $\langle f(0) \rangle$. Next, the algorithm generates the projected database for each frequent 1-itemset. For instance, Table 4 shows the projected database of $\langle a(0) \rangle$. For this projected database, the PrefixSpan algorithm continues the discovery of frequent 1-itemsets to form the frequent 2-itemsets with prefix $\langle a(0) \rangle$. In this way, the PrefixSpan algorithm recursively generates the projected database for each frequent k -itemset to find frequent $(k+1)$ -itemsets.

2.5 Fuzzy inference

Fuzzy inference is the process of formulating the mapping from a given input to an output using fuzzy logic. The mapping then provides a basis from which decisions can be made, or patterns discerned. The process of fuzzy inference involves three steps: (1) fuzzification, (2) inference from fuzzy rules, and (3) defuzzification.

First, a set of fuzzy rules needs to be found. One approach is to generate the fuzzy rules by learning from the data [21], [22]. In this study, the fuzzy rule base is derived from the discovered inter-transaction association rules. This is a new method of generating fuzzy rules by learning from the data.

3. The Mining and Fuzzy Inference Strategies

Mining fuzzy inter-transaction association rules from a 1-dimensional database can be divided into three steps: quantitative attribute transformation, the discovery of frequent inter-transaction itemsets, and association rule generation.

(1) Quantitative attribute transformation: The first step is to map each quantitative attribute into its fuzzy intervals. Let $I = \{i_1, i_2, \dots, i_k\}$ be the set of all items that belongs to the original database. For the sake of simplicity, the database is assumed to only have one quantitative attribute, $i_j, 1 \leq j \leq k$. If i_j is mapped to l fuzzy intervals, then the new set of all items I_f becomes $\{i_1, i_2, \dots, i_{(j,1)}, i_{(j,2)}, \dots, i_{(j,l)}, i_{j+1}, \dots, i_k\}$, where $i_r, r \neq j$, is a binary attribute and $i_{(j,1)}, i_{(j,2)}, \dots, i_{(j,l)}$ are fuzzy attributes that are transformed from quantitative attribute i_j .

(2) The discovery of frequent inter-transaction itemsets: Let I_f be the new set of all items that is defined from the previous phase and W be a sliding window with w intervals along the dimensional attribute. A mega-transaction M contained within W can now be redefined as:

$M = \{i(t) | i \in W[t]\}$, i could be a binary attribute or a fuzzy attribute and $0 \leq t \leq w - 1$.

The set of all possible extended items is then redefined as:

$$I'_f = \{i_1(0), \dots, i_1(w-1), i_2(0), \dots, i_2(w-1), \dots, i_{j_1(0)}, \dots, i_{j_1(w-1)}, \dots, i_{j_d(0)}, \dots, i_{j_d(w-1)}, i_{j+1(0)}, \dots, i_{j+1(w-1)}, \dots, i_k(0), \dots, i_k(w-1)\}.$$

Furthermore, an inter-transaction k -itemset is the set $B \subseteq I'_f$ such that at least one binary attribute $i_r(0), r = 1, 2, \dots, k$ and $r \neq j$, or one fuzzy attribute $i_{(j,q)}(0), q = 1, 2, \dots, l$ exists in B . Since the fuzzy attribute transformations and inter-transactions results in more data, the algorithm employs a reduced prefix-projected itemsets method based on the PrefixSpan algorithm to expedite the efficient search for the frequent itemsets, instead of using the widely used Apriori algorithm which is more resource demanding.

The following is a more detailed description of how frequent itemsets are generated.

Step 1: Discover the frequent 1-itemset $L1$. The candidate set $C1$ of 1-itemsets should be I'_f , the set of all possible extended items. The mega-transactions can be scanned to determine whether a special item $i(t), 0 \leq t \leq w - 1$, exists and determine whether it is a frequent 1-itemset. However, it is not necessary to do so. Since each inter-transaction frequent itemset will contain at least one $i(0)$, only item $i(0)$ needs to be checked. In other words, the search space is reduced and the corresponding time and space complexity are also reduced. Therefore, the count of $i(0)$ is increased by 1, if it is a binary attribute, otherwise its membership degree is increased, if it is a fuzzy attribute. Therefore, $L1$ can be found simply by one scan through the mega-transactions.

Step 2: Divide the search space and build the projected databases. The projected databases are built by partitioning the complete set of itemset into subsets according to the corresponding prefixes in $L1$.

Step 3: Reduce the size of the projected databases. For the projected databases, the PrefixSpan algorithm continues the discovery of frequent 1-itemsets to form the frequent 2-itemsets with prefix $\langle i(0) \rangle$. However, some items in the projected database can be pruned before obtaining frequent 1-itemsets by PrefixSpan. The following items can be deleted from the projected database:

(i) The fuzzy item $i_{(j,t)}(t)$ where the source quantitative attribute is the same as its prefix. For example, i_j is a quantitative attribute and it is transformed into two fuzzy attributes, $i_{(j,1)}$ and $i_{(j,2)}$. If $i_{(j,1)}(0)$ is a prefix and $i_{(j,2)}(0)$ is shown in the

projected database with respect to prefix $i_{(j,1)}(0)$, then $i_{(j,2)}(0)$ needs to be deleted.

(ii) The item $i(t)$ where $i(0)$ does not appear in $L1$. The reason is that if $i(0)$ is not a frequent 1-itemset, then $i(1), i(2), \dots, i(w-1)$ will not be frequent 1-itemsets either.

Following these steps, the improved PrefixSpan algorithm recursively generates the reduced projected database for each frequent k -itemset to find the frequent $(k+1)$ -itemsets.

(3) Generate the association rules: The generation of inter-transaction association rules is similar to the generation of classic association rules. The calculation of rule confidence is shown in Definition 4.

To design a fuzzy inference model, one needs to define its inputs and outputs. A total of 5 inputs are used to infer the abnormal salinity/temperature events and their spatial/temporal relationships. These include:

(1) The salinity event represents what kind of abnormal salinity event that occurs. Fig. 3 shows the membership functions for salinity events. These are SDM (salinity dropping much), SDL (salinity dropping little), SNOR (no salinity event), SRL (salinity rising little) and SRM (salinity rising much).

(2) The temperature event represents what kind of abnormal temperature event that occurs. Fig. 4 shows the membership functions for temperature events. These are TDM (temperature dropping much), TDL (temperature dropping little), TNOR (no temperature event), TRL (temperature rising little) and TRM (temperature rising much).

(3) The radius represents the distance to the event in the reference centric model. Fig. 5 shows the membership functions for the distance. These are short-distance, medium-distance and long-distance.

(4) Angle represents the direction of the event in the reference centric model. Fig. 6 shows the membership functions for angle. These are angle1, angle2, angle3, angle4, angle5, angle6, angle7 and angle8.

(5) Time represents the time of the event. Fig. 7 shows the membership functions for time. These are short-term, medium-term and long-term.

Output and input variables are identical. That is, the strategy can infer when, where and what kind of event that will occur based on the known input events.

Then, according to input and output variables, a set of inter-transaction association rules is selected to generate a fuzzy rule base. For example, the inter-transaction association rule "A1SDL(0) \rightarrow B1SDL(1)" is selected to transform to fuzzy rule such as "SDL TNOR angle1 short-distance short-term \rightarrow SDL TNOR angle2 short-distance short-term". This rule's meaning is "if a salinity dropping little event happens, no

temperature event happens, the distance is near Taipei, the direction is at angle1 and the time is short-term, then a salinity-dropping-little event will occur, no temperature event will occur, the distance will be near Taipei, the direction will be at angle2 and the time will be short-term.”

4. Experimental Results

The experimental salinity/temperature data used in this study were taken from the *Argo* delayed-mode database that can be downloaded from Oceanographically Products in Japan. The monthly salinity/temperature images were collected from the period of January 2001 to December 2005. We put concentric circles on each map and then treat all the abnormal events that occur inside the concentric circles on each map as a transaction. The radii for the inner and outer circles are 380km and 760km, respectively. Concentric circles allow the location context information such as direction and distance to the reference site of Taipei to be maintained. In case no special events occurred in a sub-area then it is denoted a NOR event. After the quantitative attributes in Table 2 are mapped to several fuzzy intervals, the PreFixSpan algorithm is employed to find large inter-itemsets (the maxspan window size is set to 6 months and the minimum support is set to 25%). Then the fuzzy inter-transaction association rules can be derived from large itemsets as the minimum confidence is set to 70%. Table 5 shows some of the inter-transaction association rules.

The next step is to design the fuzzy inference model. Assume that the fuzzy rule has the form “if the salinity event is A_1 , the temperature event is A_2 , distance is A_3 , angle is A_4 and time is A_5 then the salinity event is A_1 , the temperature event is A_2 , distance is A_3 , angle is A_4 and time is A_5 .” A_1, A_2, A_3, A_4 and A_5 are fuzzy sets that characterize the salinity event, the temperature event, distance, angle and time, respectively. Table 6 shows the fuzzy rule base.

The *Argo* 2006 maps are used as test data. For example, taking the data from January 2006, “the salinity event is dropping 0.05psu, the temperature is rising 0.4 °C, distance is 340km, and angle is 315°” as inputs, after fuzzification, inference process and defuzzification, one can get the inference result “the salinity will drop 0.05psu, the temperature event will rise 0.4°C, distance is 340km, and angle is 315° two months later.” After checking the *Argo* data map of April 2006, one can find the inference result is correct. Table 7 shows the fuzzification result of above example. Table 8 shows the fuzzy rules that will be triggered by the inputs of above example.

5. Conclusions

A fuzzy inference based strategy for predicting ocean salinity and temperature variations is proposed. The fuzzy rule base is not designed by domain experts but derived from discovered inter-transaction association rules. First, a method is proposed for discovering fuzzy inter-transaction association rules that require less resource than the traditional Apriori algorithm. Secondly, a fuzzy inference model is designed. Since the fuzzy rule contains the salinity/temperature variations that are associated with their spatial and temporal information, the inference results allow the prediction of when, where and what event will occur. The experiment also shows that the fuzzy inference model has a prediction accuracy of 79%. Satisfactory results can therefore be achieved without domain experts. Future work includes incorporating ocean depth. It is hoped that this research can allow people to better understand ocean climate changes.

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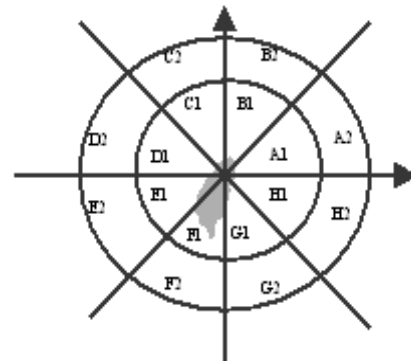


Figure 1. The reference model with concentric circles surrounding Taipei, Taiwan. The radii for inner and outer circles are 380km and 760km, respectively. The sub-areas represent different directions and distances to Taipei, Taiwan.

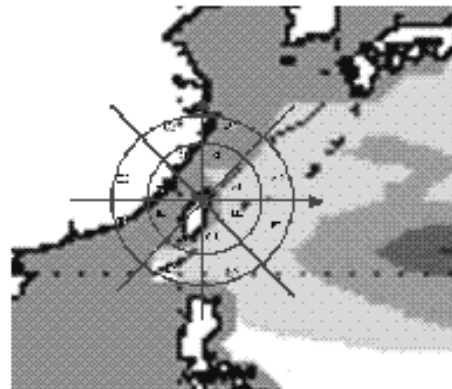


Figure 2. All the abnormal variations (events) that occurred inside the concentric circles are treated as one transaction. These data were collected and made freely available by the International Argo Project and the national programmes that contribute to it. (<http://www.argo.ucsd.edu>, <http://argo.jcommops.org>). Argo is a pilot programme of the Global Ocean Observing System.

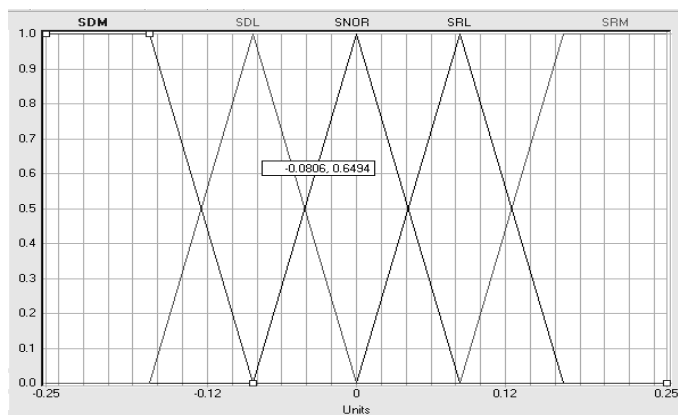


Figure 3. Membership functions for salinity anomaly.

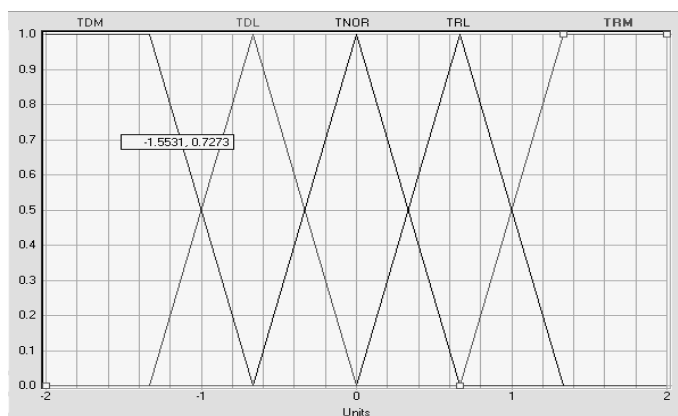


Figure 4. Membership functions for temperature anomaly.

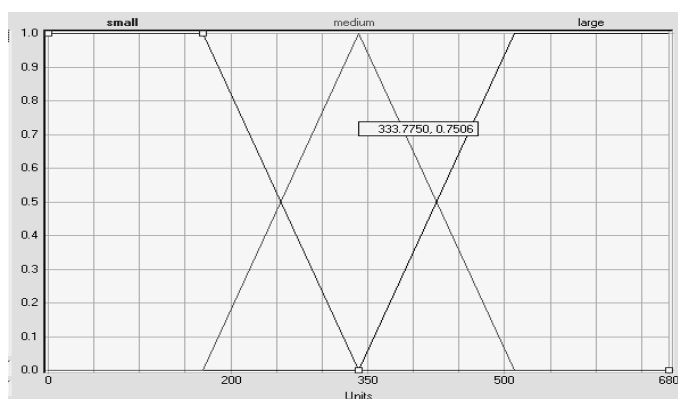


Figure 5. Membership functions for distance.

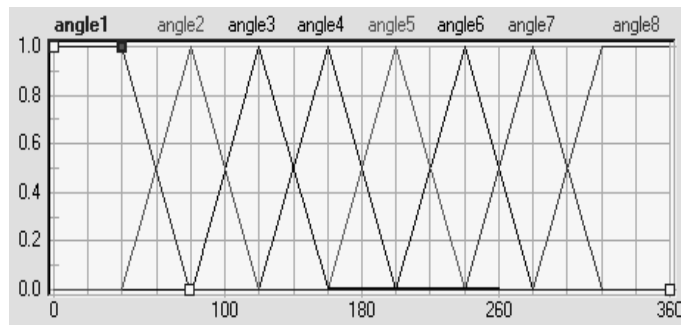


Figure 6. Membership functions for angle.

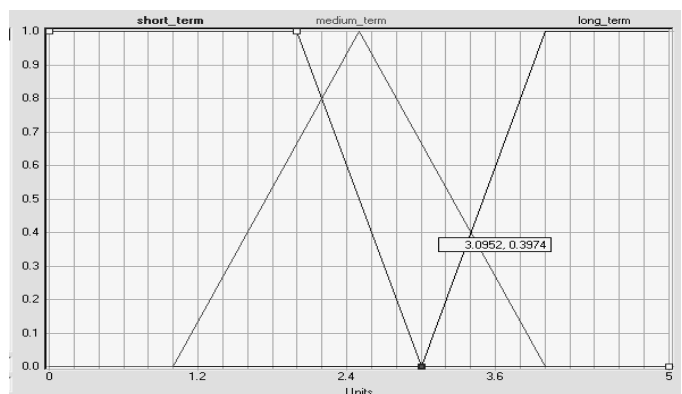


Figure 7. Membership functions for time.

Table 1. Data with a quantitative attribute “abnormal salinity variation” and “abnormal temperature variation”.

Date	abnormal salinity variation in A1 (psu)	abnormal temperature variation in A1 (°C)	abnormal salinity variation in A2 (psu)	abnormal temperature variation in A2 (°C)	...
2001/01	-0.05	0.4	-0.05	0.4	...
2001/02	-0.1	0.4	-0.1	0.4	...
2001/03	0	0	0	0	...
...

Table 2. The “abnormal salinity variation” and “abnormal temperature variation” mapping results from Table 1.

Date	abnormal salinity variation in A1	abnormal temperature variation in A1	abnormal salinity variation in A2	abnormal temperature variation in A2	...
2001/01	SDL	TRL	SDL	TRL	...
2001/02	SDL	TRL	SDL	TRL	...
2001/03	NOR	NOR	NOR	NOR	...
...

Table 3. An example of an inter-transaction database.

Transaction ID	Transactions
M ₁	{a(0), b(0), e(0), g(0), c(2), f(2), i(2)}
M ₂	{c(0), f(0), i(0), a(3), e(3), d(3), h(3)}
M ₃	{a(0), e(0), d(0), h(0), a(3), e(3), d(3)}
M ₄	{a(0), e(0), d(0), b(2), c(2), f(2)}
M ₅	{b(0), c(0), f(0)}

Table 4. The projected database with prefix <a(0)>, <b(0)> and <c(0)>.

Prefix	Projected (postfix) database
<a(0)>	{b(0), e(0), g(0), c(2), f(2), i(2)}
	{e(0), d(0), h(0), a(3), e(3), d(3)}
	{e(0), d(0), b(2), c(2), f(2)}

SDL	TRL	angle8	short-distance	short-term	->	SDL	TRL
SDL	TRL	angle8	short-distance	short-term	->	SDL	TRL
SDL	TRL	angle8	short-distance	short-term	->	SDL	TRL
SDL	TRL	angle8	short-distance	short-term	->	SDL	TRL



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